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# Managing turn entry: The design of *EI*-prefaced turns in Mandarin conversation



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#### Abstract

Using the methodology of conversation analysis, this article examines how participants claim speakership in multiparty Mandarin conversation. Specifically, I describe the use of two previously unspecified practices involving turn-initial ei and demonstrate how their deployment figures in the management of turn transfer in everyday Mandarin interaction. I first show that even though orthographically Mandarin ei is always represented as a stand-alone unit in writing, separated from the sentence that follows, this particle is not always produced as its own prosodic unit in natural conversation and may or may not be latched onto the turn component it prefaces. I next show that the resulting two different turn formats routinely occur at differential sequential positions in my 35 hours of data: Whereas speakers commonly deliver an ei-preface in an independent intonation contour when claiming speakership at a transition-relevance place, they tend to latch the ei-preface onto the turn component it preface if the attempt is made at a non-transition-relevance place. I argue that this recurrent orderly distribution should not be viewed as an outcome pre-determined by the sequence's structure, but rather as an embodiment of the would-be next speakers' orientation to the fit between the incipient turn entry and the currently on-going talk.

Keywords: Conversation analysis; Mandarin Chinese; Turn-initial particle; Turn-taking; Turn-entry device; Prosody

# 1. Introduction

It has long been recognized that the organization of turn-taking is one of the most fundamental practices in talk-in-interaction. However, although the phenomenon of turn-taking is obvious, the distribution of turns to participants is by no means random or free of constraints. From a conversation-analytic perspective, the recurrent orderly transfer of speakership from one speaker to a next has been described as organized by a set of rules with ordered options (Sacks et al., 1974) and requires an intricate participant coordination that operates on a turn-by-turn basis (e.g., Jefferson, 1984; Schegloff, 1987, 2000, 2001).

Despite the systematicity of the organization of the turn-taking system, the management of turn transfer, especially that of turn entry, is by no means a simple interactional task. This is especially obvious in multiparty conversation, in which at each possible completion point of a turn at talk, there is always, in theory at least, the possibility of a multiparty competition for the next turn entry. Not only can a current speaker choose to extend his or her current turn across the completion point, but any other parties to the conversation can also be selected or can self-select to speak next.

Abbreviations: ASSC, associative (-de); ASP, aspectual marker; be, BE verbs (shi); CSC, complex stative construction; C, classifier; N, negator; NOM, nominalizer (de); PRT, particle; Q, question marker; 3sg, third person singular pronoun.

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In competing for a next turn with other potential next speakers, then, there is often not only the pressure, on the part of an intending next speaker, to project the earliest possible start at a next transition-relevance place; in the face of the likelihood of multiple sources of simultaneous starts, the speaker interested in speaking next also needs to plan and project his or her turn's talk in a way that can possibly resist and emerge from such simultaneous starts – that is, to emerge as a sequentially effective and implicative turn should simultaneous starts occur.

One place that commonly reflects the analysis of these two sequential demands by an intending next speaker is at turn beginnings. As Schegloff (1987) has noted, turn beginnings are locations that are vulnerable to overlap impairment and yet they are also "sequence-structurally important places" (Schegloff, 1987:71) as well as important resources in conversation. In part, the importance of the turn beginning position has to do with the fact that it projects a shape and a type of the turn which the other co-participants in conversation will both be orienting to and be constrained by in analyzing the new turn's talk (Sacks et al., 1974). The turn-initial position is also important because it is a standard position for a class of turn components which are used to serve as turn-entry devices (Sacks et al., 1974) and/or to mark some relationship between the just-prior turn and the turn currently underway (e.g., Schegloff, 1987; Heritage, 2002, 2013).

Though a topic not commonly addressed in the recent upsurge of studies of turn-initial objects (see Heritage, 2013 and Kim and Kuroshima, 2013 for a review of this literature), the use of turn-initial resources for claiming incipient speakership in the moment-by-moment unfolding of talk-in-interaction has long attracted the attention of conversation analysts. For example, several decades ago Sacks (cited in Schegloff, 1982) brought up the possibility of starting a turn with stand-alone *uhm* as a way of occupying the turn space before the speaker is fully ready to project the turn. Likewise, Schegloff (1996) listed an array of turn-initial conduct – such as bodily-visual behavior, facial expression, lip parting, cough, throat clear, and hearable in-breaths – as possible resources for embodying a similar interactional move on the part of the speaker. The connection between the projection of a turn and speaker bodily behavior has been further explored in three studies: Streeck and Hartge (1992) described how the deployment of two gestural techniques at transition-relevance places in llokano conversation can serve as turn-entry devices. Wu (1997) examined the use of turns prefaced with Mandarin particles *a* and *ei* and noted a regular association of these two particle-prefaced turns with different bodily conduct when used as a turn-entry device in multi-party conversation. Similarly, Mondada (2007) demonstrated how publicly displayed pointing gestures at small-group work meetings can be used as a practice for projecting imminent speakership.

The present study continues in a similar vein of research and examines how Mandarin speakers manage to claim and establish speakership vis-à-vis their potential competing co-present parties in multiparty conversation. More specifically, we will be concerned with two previously-unspecified prosodically-variant turn designs involving turn-initial *ei* and explore how their deployment figures in the management of turn transfer in everyday Mandarin interaction.

On a more general level, this study also aims to contribute to the on-going dialogue on the importance of turn-initial objects and the role they play in talk-in-interaction while at the same time expanding the scope of investigation into their interface with prosody in achieving interactional functions.

This article is conversation-analytic in orientation. The data for this study are drawn from a corpus of approximately 35 hours of audio- and videotaped face-to-face conversations collected in Beijing, Hebei and Tianjin, China, during 2001–2002 and 2006–2011. From a subset of the data, a collection of approximately 150 instances were assembled and used as the primary basis for the present analysis. As this study aims to examine how current non-speakers self-select to speak next in potential competition with multiple simultaneous starts or already-ongoing talk, only the use of the two target turn designs in multiparty conversation will be considered.

In the present data, all of the participants spoke what is considered the standard variety of spoken Mandarin, *Putonghua*, though they were not all from Beijing, Hebei or Tianjin originally. Some participants were from places such as Dongbei, Shandong, Shanghai, Sichuan, and Yunan. Most participants came from middle-class backgrounds and their ages ranged from the early twenties to the late sixties. Participants in each conversation were family members, friends and acquaintances, and they were recorded during activities such as lunches, dinners, visits to relatives, Mahjong games or simple get-togethers for chitchat. No participants were provided with any topic to talk about in the conversation.

In what follows, I will first introduce the Mandarin turn-initial *ei* and the two target turn formats constructed out of it. I will then describe and compare the prime sequential contexts for each turn format in my data and examine their respective uses within these contexts. A discussion of seemingly deviant cases will be provided next, followed by a concluding discussion.

# 2. Two prosodically-variant designs of turns prefaced by EI

#### 2.1. Mandarin initial El

Mandarin initial particle ei has been traditionally characterized under the category of "interjections," which, according to Chao (1968), have two distinctive features which set them apart from final particles. First, unlike final particles, which are

<sup>&</sup>lt;sup>1</sup> This is a theme featured in a recent special issue of *Journal of Pragmatics*, guest-edited by Kim and Kuroshima (2013), among other venues.

always unstressed, interjections are usually stressed and exhibit "a variety of intonational patterns." Second, while particles are always bound, "being enclitic to the preceding syllable and in construction with the whole preceding phrase," interjections are free and never bound (Chao, 1968:795). This view by Chao appears to be in line with common observations that orthographically, Mandarin *ei* is always represented as a stand-alone unit in writing, separated from the sentence that follows.

Chao (1968) lists eight phonetically slightly different *ei's*, which he claimed to be used respectively (i) as a "sound of agreement"; (ii) to express agreement more "effusively"; (iii) to express "hearty agreement, but in a more deliberate manner"; (iv) to express an approval of something that was just said or done; (v) to indicate that "something suddenly happens, or suddenly occurs to one"; (vi) to express "satisfied self-assurance"; (vii) to express "sudden surprise"; and (viii) "filn answer to one's name" and "filn compliance with a command" (Chao, 1968:815–816).

Similarly, Hu (1987) proposes six uses of *ei*: (i) to get another's attention; (ii) to indicate that something suddenly occurs to one; (iii) to express disagreement or unhappiness; (iv) as a response token; (v) to express a sentimental feeling; and (vi) to sigh (Hu, 1987:99–100).

Wu (1997) is the first study examining Mandarin initial *ei* based exclusively on naturally occurring conversations. She proposes the general function of *ei* as marking a disjunction in discourse. She further demonstrates that *ei*-prefaced turns commonly serve to launch a unilateral topic shift and are frequently used in multiparty conversation by a current speaker to incorporate a marginally involved party or by a marginally involved party to incorporate oneself. This proposal of *ei* as marking a disjunction with what has otherwise been underway is also supported in Tsai (2008).

# 2.2. The present inquiry: two variant turn designs

Considering the several uses of *ei* proposed in the literature, a close examination of my data suggests that lumping all occurrences of *ei* under one umbrella and treating them as one single particle may prove analytically unwarranted. For the present purposes, I only consider cases in which *ei* occurs in turn-initial position and in which turn-initial *ei* is (arguably) followed by an additional turn component or turn components.<sup>2</sup>

As it turns out, traditional characterizations (e.g., Chao, 1968) and commonsense intuition notwithstanding, such *ei*-preface in my data is *not* always "unbound" – i.e., it is not always produced as its own prosodic unit. There are, in fact, two different turn designs involving the use of an *ei*-preface. In the first type of turn design, *ei* is produced with a clear intonation of its own and is prosodically separated from the additional turn component that follows. In the second, *ei* is prosodically latched onto the additional turn component, i.e., they are produced in a single intonation contour. These two types of *ei*-prefaced turn designs are illustrated, respectively, in the following two sets of examples.

(1) and (2) exemplify cases in which *ei* is produced in a separate intonation contour. Note that the *ei*-preface in (1) is separated from the additional turn component by a pause whereas in (2) it is not. Both are common in my data.

```
(1) (HR_1_27_09_tape 3_58:40)
                                               de:shihou=
D:-> ei ↑, (.) wo
                   shi bu
                             shi
                                   mei
                                         he
     PRT
             ı
                                   Ν
                   be
                        Ν
                                         drink when
                             be
     'ei ↑,3 (.) didn't I, before I started drinking,'=
D:
     =jian
             zhao ni
                        wo jiu
                                   shuo le=
             ASP you I
                             then say
                                         ASP
     ='when I first saw you, I already said,'=
(2) (Cao_6_28_06_38:25:00)
Y:-> ei,
           ni
                qu le
                          duoshao
                                     nian le
     PRT you go ASP how:many year ASP
     'ei, how many years have you been there?'
```

<sup>&</sup>lt;sup>2</sup> As a result, aside from the stand-alone *ei* and cases in which *ei*-preface occurs in other than turn-initial position, such as in storytelling or reported speech, this article also excludes from analysis cases in which *ei* is used as an agreement/response token [cf. (i)–(iv), (vi) and (viii) in Chao (1968) & (iv) in Hu (1987)], as a sigh [cf. (vi) in Hu (1987)], or as a sentimental cry [cf. (v) in Hu (1987)]. In these latter cases, *ei* appears to serve as a response token or a "response cry" (Goffman, 1978), preforming quite different interactional functions than other *ei* tokens whose occurrences seem mainly to mark some kind of "disjunction" in discourse.

<sup>&</sup>lt;sup>3</sup> As these examples have also shown, there are, in fact, two types of phonetically distinctive *ei* in the present corpus. The phonetically marked *ei*, represented in the transcripts as "*ei* †", is produced either with a markedly high pitch, or with some kind of marked dynamic pitch movement, such as a rising or a falling-rising pitch contour. There are no discernible differences in how these two types of phonetically distinctive *ei* figure in the two turn designs discussed in this article. The discussion and explication of the use of the prosodically marked *ei* is too complex to be included here and will have to wait for another venue.

(3) and (4), on the other hand, illustrate the occurrence of *ei* when it is prosodically latched onto the following turn component:

```
(3) (Cao_6_11_06; audio b236; r-audio b67; 51:34:15)
M:-> ei ↑= ni bu shi gao fanyi ma
    PRT you N be do translate Q
    'ei ↑=didn't you do translation?'
(4) (Cao_6_12_06_C6_16:24:10)
C:-> ei =[ta zhengzhuang shi shenme ne
    PRT 3sg symptom be what PRT
    'ei=[what's his symptom?'
```

As I will demonstrate in the remainder of this article, the matter of whether turn-initial ei is prosodically separated from or latched onto the turn component it prefaces is far from random or coincidental. There are, for the most part, 4 systematic turn designs embodying the ei-speaker's analysis of what he or she is about to project vis-à-vis the current sequential environments. In effect, I shall propose, these two variant turn formats are motivated by the management of turn transfer – that is, they are locally-administrated, recipient-designed resources available for intending self-selecting next speakers to manage the transfer of turn in talk-in-interaction.

#### 3. Differential sequential positioning of the two turn designs

# 3.1. El produced as its own prosodic unit

An initial observation that the use of the two variant *ei*-prefaced turn designs has to do with the management of turn entry can be made from their differential distribution of sequential positioning. In my data, the turn design in which the *ei*-preface is produced as its own prosodic unit commonly occurs in sequential positions in which a turn transfer is sequentially justified, if it's not indeed expected.

# 3.1.1. At a transition-relevance place where no one else self-selects to talk next

One such sequential context is at a transition-relevance place where no one else self-selects to talk next. An obvious case is example (5), in which the *ei*-prefaced turn (line 12) is launched following a long ensuing lapse (line 11) after a discussion about the proximity of three participants' birthdays was brought to a close.

```
(5) (Cao 6_11_06_video_07:56)
1M:
        iide
                    ma, [wo
                                     liuvue sanshi:hao
                                            30<sup>th</sup>
                                     June
        remember Q
                          [My (birthday is) June 30<sup>th</sup>.'
         'Remember?
2D:
                          [↓a
                            PRT
                          ['↓Yeah.'
3D:
        za
                  liana
                          iiu
                                  cha
                                        νi
                                                 tian.
                                                          а
                  two
                           just
                                  differ one
                                                 day
                                                          PRT
         'We two are only one day apart, huh?'
4M:
        (nods)
5
        (.)
6D:
        aihhvou zamen
                           san
                                  ge
                                         ren
                                                 zenme
                                                         [zheme jin
                                                                   near PRT
        PRT
                           three C
                  we
                                         person why
                                                          SO
         'Ge(hh)ez(hh)
                           why are
                                        we three's birthdays
                                                                   [so close!"
7C:
                                                          [ta qiyue yi:hao
                                                          3sg July 1st
                                                                   ['Hers is July 1st.'
```

<sup>&</sup>lt;sup>4</sup> Cf. the discussion of "deviant cases" in section 4.

```
8C:
           dui
        dui
                   dui
        right right right
        'Right. Right. Right.'
9D:
        и[n
        PRT
        'Ye[ah.'
10M:
         [un
         PRT
           ['Yeah.'
11
        (6.0)
12J:-> ei, (0.4) chi zhe ge ma
       PRT
                eat this C Q
       'ei, (0.4) wanna eat this?'
13
       (.)
14J:
       lai=
       come
       'Here '=
15C:
       =ou dui. hai
                        you xingrenr
       PRT right also have almond
       ='Ah, right, there're also almonds.'
```

A similar case is the following, in which the *ei*-prefaced turn (line 10) occurs after 0.5 seconds of silence following a sequence in which two other co-participants were talking about a mutual friend's daughter.

```
(6) (Cao_6_26_06_C7_2:50:00)
1C:
       haoxiang hai bu cuo. gan de
                                          hai bu cuo=
                 also N bad do
                                    CSC still N
       seem
                                                   bad
       'Seems pretty good. Has done pretty well.'=
2C:
       =hai na
                 ge:: (1.0)
       still
           that C
       ='Has even been uh::' (1.0)
3C:
       tisheng le
                      (zenme shuo de)
       promote ASP how
                              say NOM
       'promoted (or what-do-you-call-it.)'
4J:
            ao
       PRT PRT PRT
       'Oh. Oh.
                  Oh.'
       gan de
5C:
                  hai bu cuo
            CSC still N bad
       'Has done pretty well.'
       dui, tamen na ge ying-
6J:
       right they
                   N C
       'Yeah, their- the uh Eng-'
7J:
       yingyu dou- xue
                                 bu cuo de
                           de
       English all
                     learn CSC N bad NOM
       'English is all- pretty good.'
8C:
       en
       PRT
       'Yeah.'
9
       (0.5)
10B:->
             na
                 ge- (.) yaoshi zai meiguo de=
       PRT that C
                         if
                                at US
                                             NOM
       'ei, the uh- (.) if those in the US,'=
11B:
       =tamen ziji qu xuexi (0.4)
               self go study
       they
       ='they go study there themselves,' (0.4)
```

12B: yiban daxue limian hao bao ma general college inside good apply Q 'is it easy to apply to college in general?'

In both instances, this *ei*-prefaced turn design is deployed when a prior sequence was brought to a close and when nobody in the conversation rushed to self-select to speak next.

Of course, a legitimate turn transfer need not follow an ensuing pause or gap after a sequence; a turn transfer can also legitimately occur in the course of turn-by-turn talk without gap. In this latter sequential context, especially when no one else appears to be competing for the floor at a possible transition-relevance place, the *ei*-preface, if deployed, is canonically delivered in an independent intonation contour. Example (7), from a gathering of three middle-aged old friends, illustrates. This excerpt begins with an inquiry directed by Jenny (J) towards Cathy (C), who has lived and worked in the US for a long time.

```
(7) (Cao_6_28_06_38:25:00)
1J:
           zai nar
                      gongzuo duoshao
                                          nian le
       you at there work
                               how:many year ASP
       'How many years have you been working there?'
2
       (1.0)
3C:
       en:: gongzuo dou shi nian le
       PRT work
                      all
                           ten vear ASP PRT
       'Um:: have probably worked for ten years.'
4J:
       [shi nian
       ten year
       ['Ten years.'
5C:
       ſshi duo
                  nian
        ten more year
       ['A little over ten years.'
6Y:->
      ei.
            ni
                 qu le
                          duoshao
                                     nian le
      PRT you go ASP how:many year ASP
      'ei, how many years have you been there?'
7C:
      gu le
                dou kuai
                            ershi
                                    nian le
      go ASP all
                     almost twenty year ASP
```

'Have been there for nearly twenty years.'

In lines 4 and 5 respectively, Jenny moves to receipt Cathy's prior response with a partial repeat just when Cathy proceeds to amend that response. As these two overlapping turns come to possible completion, the opportunity of a transition to a next speaker becomes relevant. Yvonne (Y) joins in at this transition-relevance place with an *ei*-prefaced inquiry (line 6). In it, the turn-initial *ei* is produced as its own prosodic unit, separated from the inquiry it prefaces.

### 3.1.2. At a sequential position when no one else is supposed to claim the primary speakership

In examples (5)–(7) above, the *ei*-preface is delivered as its own prosodic unit when produced at a possible transition-relevance place where no one else self-selects to talk next. This *ei*-preface turn design, however, is also frequently chosen when no one else is supposed to claim the primary speakership at the point at which the *ei*-prefaced turn is launched. Consider example (8), from a conversation of a group of old high-school friends in their mid-twenties. In line 1, Don (D) is half-jokingly commenting on and complimenting the legs of a female co-participant, Zoe (Z), in front of his "buddies." This comment is met with slight reproach by Zoe in line 3.

```
(8) (HR_1_27_09_tape 3_58:40)
1D:
        tuir ting
                   χi
                         de
        leg pretty slim NOM
        '(Her) legs look pretty slim.'
2
       (0.7)
3Z:
       ni
             he
                         le
                                ba
                                      ni
                    gao
       you drink high ASP PRT you
       'You must be drunk.'
```

```
4E:
       heſhe
       (laugh)
        'he[he'
5D:->
          [ei↑, (.) wo shi bu shi mei he
                                                de:shihou=
                       be N be N
                                         drink when
           ['ei 1, (.) didn't I, before I started drinking,'=
6D:
        =iian zhao ni
                                   shuo le=
                         wo iiu
              ASP you I
                             then say
                                         ASP
        ='when I first saw you, I already said'=
7D:
       =↓wo shuo Zoe
                             (jinr-) /(zhen-) (.)
                     (name) today
        ='↓I said, "Zoe's legs (today-)/(really-)" (.)
8D:
        tuir tina
                   хi
                         de=
       leg pretty slim NOM
       "look pretty slim"?'=
9Z:
       =na [ni
                 jiu
                       mei shui
                                   xing
       then you just N
                            sleep wake PRT
       ='Then [you must have not been really awake.'
```

It may be relevant to note first that reproach or, more broadly, complaint, is one prototypical instance of the first part of what conversation analysis terms "adjacency pairs" (e.g., Sacks et al., 1974:716). Among other things, the first-pair part sets constraints on and projects the relevance of what should be done in a next turn. In this case, Zoe's reproach makes an apology or self-defense a conditionally relevant action in the next turn. Although the occurrence of the first-pair part does not in itself restrict the allocation of the next turn to some candidate next speaker (cf. the slight laughter from Ethen in line 4), the fact that this reproach by Zoe is addressed to Don makes a response from him a relevant and expectable next action – that is to say, Don can be understood to be the legitimate next speaker at this particular sequential moment. Note, then, that in his response (lines 5–8), Don launches a type-conforming second-pair part, a self-defense dismissing Zoe's accusation as unfounded. This self-defense is prefaced by ei produced in a separate intonation contour.

In my data, the privileged right to speakership in conversation is not always connected with the constraints posted by adjacency pairs. It can also occur as a result of the fact that the telling currently underway has not reached a possible completion point. Example (9), from the same conversation as (7), provides one such instance. Here, Yvonne (Y) is talking about how the residents in a community in which one of her houses was located were reacting to the proposal for a complete teardown and rebuild of the community.

```
(9) (Cao_6_28_06_C8_4:37:00)
1Y:
       jiu wo: (.) wo na fangzi na:huir (0.2)
                        that house then
       'Like me: (.) during the time when my house,' (0.2)
2Y:
        chai de:shihou
       tear when
        '(was about to be) torn down,'
3Y:
       na
             bang laotou
                            laotaitai=
                   old:man old:woman
        'a whole bunch of elderly men and women there"=
4Y:
       =ye bu yuanyi dong
       also N willing move
       ='also weren't willing to move.'
5
       (0.5)
6Y:
       zuihou ↑yixiazi
                               (0.3)
       finally all:of:a:sudden
       'In the end, ↑all of a sudden,' (0.3)
```

<sup>&</sup>lt;sup>5</sup> Cf. Sacks et al. (1974:716–717).

```
7C:
       iiu
             shi budui
                          de
                                na
                                     ge a=
       just be military NOM that C
                                         PRT
        'You mean the house provided by the military?'=
8Y:
       =bu shi. jiu- jiu
                            wo:: ziji [na fangzi
         N be just just I self
                                      that house
        ='No. (It) was- was
                             my: own
                                         [house.'
9C:
                                      Га
                                       PRT
                                         ['Oh.'
10Y:-> ei,
             jiu
                  yi
                                         (to J)
                        wanshang
        PRT just one night
        'ei, just overnight,'
11Y:
       vixiazi
                      quan dou minabai
                                            le.
                                                 haoxiana
       all:of:a:sudden all
                            all understand ASP seem
       'all of a sudden, (they) all got it. (It) seemed.'
12J:
       dou ban
                   le
            move ASP
       all
       '(They) all (agreed to) move.'
```

In line 6, Yvonne appears to be on her way to launching the climax of the story. With the use of *yixiazi* 'all of a sudden' produced with a marked rising shift in pitch (i.e., marked by "↑" in the transcript), the design features of this turn strongly project an unexpected twist of the story. Additionally, the 0.3 seconds of silence produced right before the delivery of the twist can also be seen as an invitation for the recipient to offer an understanding of the story's upshot and to jointly deliver it (cf. Lerner, 1991). In response, however, instead of offering an understanding of the projected upshot, the intended recipient, Cathy (C), offers an understanding check on the referent of the story (line 7), arguably stalling the progression of the storytelling. After responding to the understanding check with a disconfirmation (line 8), Yvonne turns to another co-participant, Jenny (J), apparently to redo the projection of the story's climax "for another first time" (lines 10–11). Notably here, Yvonne deploys an *ei*-prefaced turn as she engages with Jenny in projecting the story's ending and this *ei*-preface is produced in a separate intonation contour from the remainder of its prefaced turn.

Here, as with example (8), the *ei* speaker is arguably entitled to the speakership when launching the *ei*-prefaced turn – in this case, by virtue of the fact that her storytelling has not officially reached its projected completion yet. As with example (8), too, then, we can see that the turn format the speaker opts for is to produce *ei*-preface in an independent intonation contour.

What we have seen in this section, then, are cases in which ei is produced as a prosodically-separate unit from the additional turn component it prefaces. Across these excerpts, there are good grounds for arguing that the competition for the conversational floor is not particularly stiff at the point when the ei-prefaced turn is launched: The ei speaker is either a legitimate next speaker or the legitimate speaker at that particular moment from a sequence-structure's perspective. As we'll see next, this is the major difference which sets this set of cases apart from the other set of cases in which ei is produced as prosodically latched onto the turn component it prefaces.

#### 3.2. El-preface delivered as prosodically latched onto the turn component it prefaces

Contrary to the cases discussed in section 3.1, the canonical sequential position for the *ei*-prefaced turns in which turn-initial *ei* is prosodically latched onto the following turn component is at a non-transition-relevance place.

# 3.2.1. When the current turn in progress has not reached its projected completion

One common type of non-transition-relevance places where this *ei*-preface turn format figures is at a place at which the current turn in progress has not reached its projected completion. A case in point is example (10), taken from a group of friends in their mid-fifties who have known each other since high school. Prior to this excerpt, one participant has just commented on Jiana's frequent job changes. In response to this criticism, Jiana (J) comes to defend herself by attributing her constant career changes to bad luck (lines 1–6, 8).

<sup>&</sup>lt;sup>6</sup> For a full explication of this excerpt, see Wu (2011).

```
(10) (Cao_6_11_06; audio b236; r-audio b67; 51:34:15)
1J:
       [pt! bu. wo lao
                            xiang- (.)
                    always want
            no I
       ['pt! No. I have always wanted to-' (.)
2J:
       iiu shi- qao vi
                           ae-
       iust be do one C
       'I mean- do one-'
3J:
       gao- gao dao- gao dao di=
            do until do until bottom
       'do- do to- do (something) to the end.'=
       = >dan shijishang genben bu keneng=
4J:
          but in:fact
                          at:all
                                   N possible
       = >'But in fact, it was not possible at all.'=
5J:
       =wo jiu- wo zhe ge ren
                                            yungi-
            iust I
                      this C person just luck
       ='I just- all my life my luck has been-'
6J:
               bu hao. =renjia dou shuo wo yunqi tebie]<=
       special N good others all
                                      say
                                            Т
                                                 luck
                                                        special
       ['really bad.=They all say my luck has been really']<=
7M:-> [ei ↑= ni
                  bu shi gao fanyi
                                         ma]
       PRT you N be do translate Q
       ['ei↑=didn't you do translation?']
8J:
        =[[bu
                hao
                 good
          Ν
        =[['bad.'
9M:
                       shi ying-
         [[ni
                 bu
                       be English
                 Ν
          you
         [['Didn't you Eng-'
10M:
        yingwen fanyi
                         ting bang de
                                            ma=
        English translate prettygood NOM Q
        'translate English pretty well?'=
11J:
              kan wo vuanlai fan
         you see I
                       original translate CSC
       = † 'You see I used to translate'=
12J:
       =dou tebie
                        hao=
             especially good
       =['really well.'=
```

Before Jiana's self-defense comes to a possible completion point, another co-participant, Mary (M), comes in with a query about Jiana's translation career, something that she apparently thought Jiana had done well in the past (line 7). Note here that Mary's *ei*-prefaced query is produced in overlap with Jiana's turn in progress (line 6) and, in fact, comes in at a point at which not only has Jiana's current turn not reached a possible completion point but the projected telling in which this turn is lodged has not come to completion either. Note, then, that in delivering the *ei*-prefaced query, Mary doesn't produce *ei* as its own prosodic unit but rather latches it onto the query it prefaces in a single intonation contour.

Of course, to start to speak in the course of another speaker's turn need not result in overlapping talk (e.g., Jefferson, 1986). Still, when this happens, the *ei*-prefaced turn is commonly packaged in a format in which *ei* is prosodically latched onto the turn component it prefaces. Example (11) illustrates.

```
(11) (Cao 6 28 06 C8 39:54:20)
1Y:
           shuo ↑ni ye
                           bu kan kan wo shi shei hehe
       3sg say you also N see see I
                                            be who (laugh)
       'He said, "

You didn't even look to see who I was." hehe'
2
       (.)
3Y:
       wo shuo ni
                                ba
                                      hhh=
                     zuo xia
                you sit down PRT (laugh)
           say
       'I said, "Why don't you sit down?" hhh'=
```

```
you
4Y:
       =wan le
                           γi
                                bian=
         end ASP again one C
        ='And then (he said it) again.'=
5Y:
                        yi
                                                hahahahaha
        =ranhou zai
                             kan haha
                                          iou
         then
                 again one see (laugh) PRT (laugh)
        ='Then (I) took a look again haha
                                          Geez! hahahaha'
        (.)
7C?
        wo
        PRT
        'Oh.'
8C:
        hehe
        (laugh)
        'Hehe'
9Y:
        haiyou
                    na
                         ge shei
        additionally that C
                            who
        'Also, what's-his-name,'
10C:-> ei=
             nei tian- (.) ei=
                                                  um: tch! (.)
                                 nei
                                      ge huluo
        PRT that day
                           PRT that C
                                          (name) PRT
        'ei=that day- (.) ei=Huluo um: tch!' (.)
11C:
               haishi jiao shenme=
        hujun:
        (name) or
                       call what
        'Hujun or what's-his-name,'
12C:
        =ou
              houlai- houlai wo xiang gilai=
        PRT later
                                  think up
                      later
                             1
        ='Oh later on- later on it occurred to me,'=
13C:
        (recount continues)
```

Prior to this excerpt, Yvonne (Y), a healthcare professional, and her two long-time friends had been reminiscing about their old mutual friends from high school. Here, Yvonne is recounting an exam-room encounter that she had with an old friend, during which she did not initially recognize him (lines 1–5). After a brief recipient response to this reported event (lines 7–8), Yvonne goes on with her story, apparently to add a recount about another friend whose name, however, she exhibits a momentary difficulty in recalling (line 9). Instead of waiting for the name search to be resolved and the projected telling to be completed, Cathy (C) nonetheless proceeds to jump in at this moment to launch a recount of her own: In line 10, Cathy first launches her story by reference to the time when the event in question happened ("ei=that day") but then immediately revises it by reference to the character involved ("ei=Huluo um: tch!"). This recount by Cathy, though not produced in overlap with Yvonne's turn in line 9, is arguably interruptive of the projected telling in progress. Here, it can be noted that Cathy uses *ei*-prefaces in both of her two consecutive tries to claim the speakership and that in both tries, she latches the *ei*-preface onto the additional turn component that follows.

Example (12) offers another case in which the entry into a turn space through a non-transition-relevance place is facilitated by the use of an *ei*-preface latched onto the turn component that follows. Here, the sequence-structurally illegitimate turn entry takes place when turn-by-turn talk is at work. This excerpt comes from the same conversation as (10). Just before this excerpt, Cathy (C) shared the news that she had one time been diagnosed with suspected hyperthyroidism.

```
(12) (Cao 6 11 06 C5 04:36)
1C:
        xianzai jiben
                           dou zhengchang
        now
                basically all
                                normal
        'Now (I'm) basically normal.'
2D:
        ou
        PRT
        'Oh.'
3D:
                              nei- nei jiakang-
        t[a
              nei- nei- vi:
              that that one that that hyperthyroidism that
        'I[t- that- that- once: that- that- hyperthyroidism- that'
4C:
        ſа
         PRT
        ['Yeah.'
```

```
5D:
        yi-
                       zhuvuan=
                 νi
        one
                 one hospitalize
        'once- once (you) get hospitalized,'=
6D:
        =yi
              tiaozheng vihou jiu
                                      mei shi
                                                         bei=
                                                   le.
         one adjust
                         after then N
                                           matter ASP
                                                        PRT
        ='and get treatment, (you) should be just fine.'=
7J:
         PRT
        ='Oh.'
8
        (.)
9D:
        [chi
               dian yao
                               iiu
                                     xing
        eat
               little medicine then
                                     OK
        ['Just need to take some medications.'
10C:
        Iwo zhenzhena-
             really
        I'l actually-'
11C:
             shuo wo zhe shi::=
        3sg say
                   Τ
                        this be
        'He said mine was::'=
12J:-> =ei ↑=diane ni
                          xianzai [hai shang zhe
                                                    ban
                                                          mal=
       PRT (name) you now
                                              ASP
                                                    work
                                                          Q
                                  still go
       ='ei↑=Diane. are
                         you [still working?']=
13C:
                                [zhanshixing
                                               de
                                temporary
                                              NOM
                              ['temporarily.']
14J:
       =hai zai nei
                       ge [(.) fenyuan
                                               nar.
                                                      ha
        still at
                 that
                               medical:center
                                               there
                                                     PRT
       ='Still at that
                           [(.) medical center,
                                               huh?'
15D:
                          shang ban
                     [hai
                     still go
                                 work PRT
                    ['Still working.'
```

In response to the advice offered by Diane (D) (lines 3, 5, 6), who works in a hospital pharmacy, Cathy proceeds to project a clarification that her symptoms turned out to be a false alarm (lines 10–11, 13). Before the clarification by Cathy reaches a possible completion point, though, another co-participant, Jiana (J), cuts in with an *ei*-prefaced inquiry directed at Diane (line 12). Here, as in the previous two examples, this interruptive *ei*-preface and its following turn component are produced within a single intonation contour.

#### 3.2.2. In violation of seguential constraints

In the excerpts examined so far in section 3.2, the *ei*-preface turn format, packaged in the form of a single intonation contour, is employed at a point at which the current turn in progress has not reached its projected completion – whether this turn in progress is part of an extended telling or of turn-by-turn talk and whether the *ei*-prefaced turn entry results in overlapping talk. Example (13) illustrates an additional sequential position for the use of this *ei*-preface turn design – that is, when the *ei*-prefaced turn is launched in violation of the adjacency-pairs constraints. In this excerpt, Martha (M), who is proficient in traditional Chinese foot massage therapy, is demonstrating to her two long-term friends how she had applied the massage therapy in helping relieve her husband's discomfort from recurrent canker sores.

```
(13) (Cao 6 12 06 C6 16:24:10)
1M:
        mei
              ge
                   jiao zuo le
                                    jiangjin ershi
                                                    fenzhong=
                              ASP nearly
        each C
                    foot do
                                            twenty minute
        '(I) rubbed each foot for nearly twenty minutes.'=
2M:
        =ta
              ve
                    [ziji-
         3sg also self
                        [also-'
        ='He himself
3L:
                 [na
                        ge difang
                 which C
                            place
                        ['Which spot?'
```

```
4C:->
            =[ta
                  zhenazhuana shi shenme
      PRT
             3sg symptom
                                be what
                                             PRT
      'ei=[what's his symptom?'=
5M:
           [zai zher
            at here
           ['Right here.'
6C:
       =shi shenme bina
         be what
                      illness PRT
       ='What was the diagnosis?'=
7C:
                      ge jimu
                                    bu shi ye
                                                  shi (°shenme°)
             wo na
       =na
                  that C stepmom N
                                        be also be what
       ='Y'know my stepmom is also (°like that.°)'
8M:
       bu:
       Ν
        'No:.'
9M:
       liang hui shir
              С
                  matter
       two
        'Two different things.'
```

In line 3, Lisa (L) proceeds with an inquiry addressed to Martha (*na ge difang* 'which spot') – a move which makes a response from Martha relevant next. However, rather than waiting for that response to be given first, another coparticipant, Cathy (C), undertakes at this point to launch an *ei*-prefaced inquiry (line 4), which collides in part with the expected response from Martha (*zai zher* 'right here'; line 5). Here, as with examples (10)–(12), the *ei* speaker proceeds to claim the floor at a point at which he or she is not a sequentially legitimate speaker. Once again, the production of the *ei*-preface is latched onto the turn component it prefaces.

What we have seen in section 3.2, then, are a set of cases illustrating a different *ei*-preface turn design, in which the delivery of turn-initial *ei* is prosodically latched onto the turn component it prefaces rather than intonationally separated from it. In these cases, I have also shown that the turns prefaced by *ei* occur in a very different kind of sequential position than those observed in section 3.1. To wit, such *ei*-prefaced turns are commonly occasioned at other than legitimate turn transition-relevance places.

#### 3.3. Speaker's revision into the use of a different turn format

In sections 3.1 and 3.2, I have shown that the two target turn-initial *ei* designs are canonically associated with differential sequential positions: At a transition-relevance place, in which the attempt to enter into a turn space is considered sequentially legitimate, the speaker commonly first produces *ei* as its own prosodic unit before moving on to produce the additional turn component. On the other hand, at a non-transition-relevance place, in which the entry into a turn is both sequentially problematic and potentially interactionally challenging, the speaker generally latches *ei* onto the turn component it prefaces within a single intonation contour.

If this analysis is on target and the choice between these two turn designs is motivated by different sequential pressures in launching the turn, then we should expect to find cases in which a speaker revises his or her choice when a prior try with the alternative design format turns out to be less than successful. This seems to be what happens in the next example, which comes from the same conversation as example (13), about the foot massage therapy. Here, Martha (M) is reporting on her mother-in-law's trip to a medical service the night before.

```
(14) (Cao_6_12_06_8:13:15)
1M:
        wo popo
                           zuotian
                                     qu le=
            mother-in-law yesterday go ASP
        'Yesterday my mother-in-law went.'=
2L:
        =um
         PRT
        ='Yeah.'
3M:
                               bu shi- (.) na
        WO
                 popo
                                                ge-
                 mother-in-law N
                                   be
                                           that C
        'Wasn't my mother-in-law diagnosed with- (.) the-'
```

```
4M:
        shenme: uh:: (.) jiao shenme (0.3) uh:: (.)
        what
                 PRT
                         call what
                                            PRT
        'what:: uh:: (.) what-do-you-call-it (0.3) uh::' (.)
5M:
        zhongzhengjiwu[li
        Mvasthenia:Gravis
        'Myasthenia Gra[vis?'
6C:
                       Га
                             [[dui
                                    dui
                       PRT
                             right right
                       ['Ah. [[Right. Right.'
7L:
                              [[um
                               PRT
                             [['Yeah.'
8M:
        aishi
                gen naozi you guan[xi de
        actually with brain have relation NOM
        'Actually (it's) related to the bra[in.'
9C:->
                                       [ei, (.) nei ge-
                                      PRT that C
                                         ['ei, (.) the uh-'
10C:
             you-
        3sg have
       I'she has-'
11M:
        [wo yi
                  то
                        ta
                             zhe dian a=
            one touch 3sg this point PRT
        ['Once I touched this spot on her (foot),'=
        =qixian wo yiwei
                             shi gutou ne
12M:
         at:first I
                    thought be bone PRT
        ='at first I thought it was a bone.'
13L:
       ou::
       PRT
       'Oh::.'
14
       (.)
       yi
15M:
                                     hai limian shi ruan de
            mo
                   xia
                         juran
        one touch down unexpected also inside be soft NOM
        '(But) once I pressed down on it, it was soft inside.'
16L:
        [ou
        PRT
        ľ'Oh.'
17M:
        [ta zheng ge- (0.3) (zheng ge-) yingjianzi=
        3sq whole C
                           whole C
                                        nodule
        ['The whole thing- (0.3) (whole thing-) was a nodule.'
18C:-> =<ei= ta
                   xianzai fazhan dao shenme dibu=
                            develop to
          PRT 3sg now
                                         what
                                                   position
        =<'ei=how far along has her (illness) progressed?'=
19C:
       =[women jiu shi you nei ge=
         we
                 just be have that C
       =['We just have this'=
20L:
       [shi ma. ou
                        (to M)
        be Q
                 PRT
       ['Was it? Oh.'
21M:
       [[hen mei you
                         ligi
        very N
                  have strength PRT
       [['(She's been) rather weak.'
22C:
        =[[dula
                 na ge siji
                                 xianzai ve
                                               shi=
         (name) that C driver now
                                          also be
        =[['Dula's driver is also like that now.'=
```

```
23M: = †<u>a</u>::
PRT
= † 'Reallv::?'
```

Following the recipient's acknowledgement (line 2) of Martha's topic proffer, rather than continuing with the specifics of this trip, Martha goes on to talk about her mother-in-law's diagnosis of Myasthenia Gravis (lines 3–5, 8), apparently to provide some background information for the story to be projected. In overlap with Martha's talk in line 8, however, Cathy (C) launches a turn prefaced by *ei* (lines 9–10). Note in this turn that Cathy uses the *ei*-preface design typically associated with a legitimate turn entry: She first produces *ei* as its own intonation unit before proceeding to project the rest of the turn after a slight pause.

Here, Cathy's choice of the turn design is compatible with the way she starts up her talk, which is launched in "terminal overlap" with Martha's turn in line 8. Previous conversation analytic studies (e.g., Jefferson, 1984; Schegloff, 2000, 2001) have shown that the occurrence of "terminal overlaps" often indicates that "one speaker appears to be starting up by virtue of a prior speaker's analyzably incipient finishing of a turn" (Schegloff, 2000:5). At this sequential point, the timing of Cathy's entry into the turn and her choice of a less tightly-woven turn design to implement it may relate precisely to this anticipation of the imminent completion of Martha's ongoing turn.

However, although Cathy's entry comes close to a point where the current turn in progress is reaching a possible completion point, her turn entry occurs remote from possible completion of the telling already initiated – in other words, this is not a legitimate turn transition-relevance place. As it turns out, this turn entry by Cathy is rendered ineffective by the current speaker Martha and another co-participant Lisa (L), as both of them continue to focus attention on the story being projected (lines 11–17, 20).

Note, then, that just as Martha's story reaches a possible completion point (which may or may not be the speaker-intended transition-relevance place, however), Cathy immediately launches another *ei*-prefaced turn (line 18). Note that this time she resorts to the alternative *ei*-preface turn design – one that is commonly deployed when competition to the floor is keen, as we've seen earlier. This choice by Cathy is clearly in tune with the other efforts she makes to claim the floor, such as accelerating her talk (the "<" sign in line 18) and latching each of her utterances onto the next (marked by the "=" sign in lines 18, 19, 22) until the upshot becomes clear (line 22).

Here, then, as with the other cases examined in sections 3.1 and 3.2, the selection of the *ei*-preface turn design can be understood as embodying the speaker's analysis of the fit between the current sequential context and the incipient entry of a turn.

# 3.4. Interim summary and discussion

In sum, we've examined the differential positioning of the two target *ei*-preface turn designs within similar sequential contexts – contexts such as storytelling, multi-unit turns, turn-by-turn talk, and adjacency pairs. It may be relevant to note now that in the collection of approximately 150 cases I have assembled, the vast majority of cases (i.e., 130 in total) follow the patterns that we've discussed so far. That is, whereas intending next speakers routinely produce *ei*-preface in an independent intonation contour when the turn entry is occasioned at a transition-relevance place, they tend to latch *ei*-preface onto the turn component it prefaces if entering at other than a transition-relevance place. This is not to say that the selection of one *ei*-preface turn format over the other would guarantee a successful turn entry, but rather that the selection itself embodies the intending next speaker's analysis of how well the incipient turn entry would fit the current sequential position as well as how strongly the speaker is motivated to achieve the turn transfer at that given moment.

It is important to note that even though the two *ei*-preface turn designs are routinely used in differential sequential positions, their use should not be viewed as pre-determined by the structure of a sequence. Rather, like other conversational practices, they can be best understood as locally-managed and recipient-designed resources that can be mobilized by intending next speakers to manage the sequential demands associated with turn entry. This "locally-managed" and "recipient-designed" nature is also evident in some seemingly deviant uses of these two practices, to which we'll turn next.

# 4. Deviant cases

In my data, there are approximately 20 cases in which the use of turn-initial *ei* could be considered as departing from the regular patterns discussed in section 3. These cases generally fall into two categories. In what I term "Type 1" deviant cases, the *ei*-preface and the additional turn component that follows are projected within a single intonation contour even though the *ei*-prefaced turn is launched at a legitimate transition-relevance place. On the other hand, in "Type 2" deviant cases, the *ei*-preface is prosodically separated from the turn component it prefaces when the *ei*-prefaced turn is launched at a non-transition-relevance place and in overlap with some ongoing talk by another speaker.

Before discussing these cases, however, it may be worth differentiating them from cases which, prima facie, resemble these cases but aren't really deviant cases upon close examination. Consider the following example, from the same

conversation as example (10). Prior to this excerpt, this group of middle-aged women had been chatting about their adult children, most of whom were working for foreign-invested firms in China. Diane (D) had just finished a storytelling in which her husband reportedly used an old Chinese folklore saying, *gei gongchandang ganhuo bu yao tai fei liqi* 'don't work too hard when working for the Communist Party,' to persuade her daughter not to be totally consumed with work, only to be corrected by his wife that unlike people in their generation, who had no other choice but to work for the Communist Party, their daughter was not working for the Communist Party after all.

```
(15) (Cao_6_11_06_20:32) (slightly simplified)
1D:
        tamen na
                   genben bu shi=
               that basically N be
        3sa
        'They are not'=
2D:
       =gei gongchandang
                              ganhuo
          for communist:party work
        ='working for the Communist Party at all.'
3M:
        gei yang
                    zibenjia [hai shi, a
        for foreign capitalist also be PRT
        '(Working) for foreign capitalists, [that is, huh?'
4J:
                                       [(laugh)
        ľei.<sup>7</sup> vana
5C:
                     zibeniia
        PRT foreign capitalist
       ['Yeah, foreign capitalists.']
       [(participants [[laugh)
6
7D:
                             zibenjia.
                     [yang
                     foreign capitalist
                     [['Foreign capitalists.'
8D:
                                                      de
        eraie vana
                      zibeniia
                               aishi
                                        tina
                                               hen
        also foreign capitalist actually pretty fierce NOM
        'And foreign capitalists actually are pretty demanding.'
        hen:=
9M:
        fierce
        'Very:.'=
10D:
        =yaoburan ni
                         jia
                               name duo
                                             ban
          otherwise you add that
                                     much work
        ='Otherwise (why's) there so much overtime work,'
11D:
       [(jiu zhe ge nei de)=
        just this C that NOM
       ['(or stuff like that)?'=
12M:
        Ibu yao ming
        N want life
        ['Don't care about life.'
13D:
       =zamen gongchandang
                                  dao
                                          bu rang ni
                                                              ban
                 communist:party actually N let
                                                    vou add work
       ='Our Communist Party didn't ask you to work overtime.'
14D:
       gongchandang bu rang jia
                                       ban
       communist:party N let
                                  add work
       'The Communist Party didn't ask to work overtime.'
15D:
       gongchandang
                        genben jiu
                                       mei huo gan
       communist:party basically just N
                                            work do
        'The Communist Party didn't have any work to offer!'
16M:-> [ei ↑, na
                   ta,
                       na
                             ta,
                                  na
         PRT that 3sg that 3sg that 3sg
        ['ei↑, then she- then she-'
```

<sup>&</sup>lt;sup>7</sup> This is an *ei* example which serves as an agreement token. Examples like this are excluded from the analysis of the present study (cf. Footnote 2).

```
17C: [(jiu qu shui wujiao...)
    just go sleep nap
    ['(Just to go take a nap...)'
18D: gongchandang genben jiu mei you qian gei ni communist:party basically just N have money for you 'The Communist Party just didn't have any money for you.'
```

In the resumption of turn-by-turn talk following the storytelling, Diane and other co-participants are further elaborating on the import of the story (lines 1–15). Diane's elaboration can be understood as reaching a possible transition-relevance place upon completion of her turn in line 15, at which point both Mary (M) and Cathy (C) enter (lines 16 and 17 respectively), resulting in overlapping talk. Here, in her entry of the turn Mary produces an *ei*-preface in a separate intonation contour before producing the additional turn components – a turn format which is not typically associated with the context of overlapping talk, as we've seen earlier. However, if we consider the progressivity and the emergent nature of talk and interaction (e.g., Sacks et al., 1974; Lerner, 1996), it becomes apparent that the selection of the turn format by Mary may have been prompted by her analysis that she's coming in at a possible transition-relevance place. That is, she is proposing a "unilateral topic shift" (Wu, 1997) drawing on the story's main character<sup>8</sup> when Diane's post-story-completion talk has reached a possible completion point.

Here, as Sacks et al. (1974) have noted, simultaneous starts, such as those initiated by Cathy and Mary, "testify to the independent-for-each-party projectability of possible completion points of the talk that occupies current turn" (Sacks et al., 1974:707). That is, Cathy and Mary are equally legitimate to start as the next speaker in this sequential position, and each can construct her own turn in a way that is independent of what the other is doing at the same time.

Hence, Cathy's simultaneous start as well as the ensuing overlapping talk is arguably an unexpected outcome from Mary's perspective and is not something that Mary's *ei*-prefaced turn was designed to address in the first place. In this regard, Mary's choice of the *ei*-preface format is perfectly in line with the routine use of the turn design and shouldn't be treated as a deviant case.

Having illustrated what's not counted as a deviant case despite a prima facie resemblance, we can turn now to a brief discussion of the deviant cases.

# 4.1. "Type 1" deviant cases

As noted earlier, with "Type 1" deviant cases, the speaker latches an *ei*-preface onto the additional turn component when his or her entry of the turn occurs at a possible transition-relevance place. Interactionally, such a move could create an impression that the speaker is "rushing" to enter into a turn space when in fact there is no visible competition at that moment or immediately thereafter. Whereas the occurrence of such cases may be an outcome of an individual style or preference, such occurrences are notably often connected with how parties have interacted with each other on a given occasion. That is, such a "rushy" turn entry may occur when there had been some apparent trouble, including tension to compete for the floor, in the just-prior interaction. A possible case in point is the following, from the same conversation as (13) and (14), where the focus of the talk has been on health issues. Prior to this excerpt, Lisa (L) had just complained about being especially susceptible to catching cold this year. The deviant use of the *ei*-preface turn format occurs in line 26.

```
(16) (Cao 6 12 06 C6 15:34:00)
        (1.0)
2C:
        ganmao shi- jiu
                           shi qingwei de
                                               ne=
                      just be mild
                                        NOM PRT
        '(When you had) a cold- was it mild'=
3C:
        =[haishi hai
                      you
                            fasha=
                                                  (to L)
                 also have fever
          or
        =['or was it also (accompanied by) a fever?'=
                                  ganmao ne
4M:->
                 wo ba[[bude
         [qishi
                                                    (to L)
        actually I desperate:for cold
                                           PRT
        ['Actually
                  I've been de[[sperate to catch a cold.'
```

<sup>&</sup>lt;sup>8</sup> As it turns out, Mary's intended inquiry, when finally produced in the clear, concerns the salary of Diane's daughter.

<sup>&</sup>lt;sup>9</sup> It may be relevant to note that prior to and upon producing her utterance in line 16, Mary is sitting in "body torque" position (Schegloff, 1998), with her back facing Cathy.

```
5L:
                    =[[buguo ye hen lihai
                                                 de=
                                                       (to C)
            but
                              also very serious NOM
                             =[['But (they) were also very serious.'=
6C:
       =a[:
        PRT
       ='O[h:.'
7M:->
          ſei=
                wo lao
                            bu ganmao te
                                                 zhaoji= (to C)
                     always N cold
                                         special anxious
           PRT I
          ['ei=I'm so anxious about not getting a cold,'=
8M:
       =ni zhidao ma
        you know
       ='v'know?'
9M:
       [>ye bu fashao bu ganmao=
         also N fever N cold
       [>'(If you) don't have a fever or get a cold,'=
10C:
       [bu- (shi zhe ge-)
            be this C
       ['No- (it's the uh-')
11C:
       >bu shi,
                        [(...baozhi)
                                       shuo ma yi
                                                       nian ganmao yi:ci=
        N be
                           newspaper say PRT one year cold
       >'No, [(...newspaper) says that getting a cold once a year,'=
              =[(...) mei you dikangli
12M:
                       have immunity
             =['(...you'll) have no immunity.'
13C:
       =[ting hao (...ta shuo) na ge
                                             fashao keyi-
         pretty good 3sq
                            say that C fever can
       =['is a good thing. (.it says that) the uh- fever can-'
        [dui. ganmao liang:ci. haoxiang.
14L:
         right cold
                       twice
                                seem
        ['Right. Getting a cold twice. (It) seems.'
15C:
       shenme hai shao si
                                 ai
                                         xibao- shenme=
                also burn dead cancer cell
                                                what
       'kill cancerous cells- or what.'=
       =dui dui. [shi zheyang
16M:
        right right be this:way
       ='Right. Right. [(That)'s right.'
                 [shi a
17L:
                  be PRT
                      ['(That's) right.'
18C:
       um:.
       PRT
       'Yeh:.'
19
       (.)
20L:
                      you hao ji
       wo
                                         nian dou bu fashao=
              already have good several year all N fever
       'I've not had a fever for a good many years.'=
21L:
       =vigian wo vi
                          fashao jiu-d- jiu
                                              shi sanshijiu du
                                                                    wu
         before I once fever then then be 39
                                                            degree 5
       ='In the past if I had a fever then- then- I'd reach 39.5°.'
22
       (0.5)
23L:
       jieguo wo hao ji
                                nian bu fashao=
       result I good several year N fever
       'Then I've not had a fever for a good many years.'=
24L:
       =jiu wo jinnian
                          fashao
       just I this:year fever
       ='But this year I got a fever.'
```

25 (0.5)

26M:->> ei= ni xianzai kouqiang zenmeyang

PRT you now oral:cavity how

'ei=how's your mouth now?'

27L: xianzai kouqiang faner hao duo le

now oral:cavity reverse good much ASP

'(My) mouth is actually much better now.'

In broad stokes, this deviant use of the *ei*-preface turn format by Martha (M) (line 26) is launched after the sequence of talk among the three co-participants in lines 2–18 was brought to a close and when immediately thereafter (lines 20–24) Lisa proceeds to retopicalize the symptoms she was complaining about earlier. Specifically, this *ei*-prefaced turn is launched after 0.5 seconds of silence following Lisa's retopicalization of her symptoms (line 25). Prima facie, this is not a usual context motivating the use of such a tightly-woven turn format, wherein *ei* is prosodically latched onto the turn component it prefaces.

However, although there is no apparent competition for Martha's turn entry in line 26, she apparently exhibited great difficulty in launching her turn and the topic of her choice in the just-prior sequence. This difficulty can be seen in Martha's first try in line 4, where she proposed an alternative topic to Lisa, in competition with an inquiry by Cathy (C) in lines 2–3. Martha's attempt, however, clearly fails as it receives no subsequent recipient response or acknowledgement. In line 7, Martha re-enters and recycles this failed topic. This time, not only does she deploy a turn format well suited for competing for the floor (i.e., a turn prefaced by *ei* which is latched onto the additional component that follows), but her determination to claim and hold the turn space is also embodied in her continued effort to launch several turn-constructional units <sup>10</sup> elaborating on this topic (lines 9, 12). Nonetheless, this attempt by Martha is met with yet another round of competition (lines 10–11), over the course of which both Martha and Cathy appear to be unwilling to relinquish their turns to each other, though Cathy's turn eventually emerges into the clear and receives recipient acknowledgements (lines 16–17).

Given that Martha's deviant *ei*-prefaced turn occurs shortly after this competitive battle, the possibility can be entertained that Martha's seemingly premature deployment of this relatively aggressive turn format may be motivated by this just-prior encounter. That is, in view of the fact that her attempt to claim the next speakership was repeatedly trumped by Cathy's, Martha may have been gearing herself up for another possible round of competition, even at the risk of overdoing it by deploying a proactive turn-entry device at a seemingly non-competitive sequential position.

As further support for this possibility, it may be worth mentioning that similar "Type 1" deviant cases are observed five times, deployed either by Martha or by Cathy, in this rather turbulent one-hour conversation. There is a sense, then, that such seemingly deviant cases do not necessarily undercut the present analysis. Rather, as with other cases observed in section 3.2, this use of the *ei*-preface turn design, in these several cases at least, serves similarly to embody the would-be speaker's orientation to possible competition for claiming the next speakership.

# 4.2. "Type 2" deviant cases

If speakers in "Type 1" deviant cases may risk being viewed as "rushy" or even "pushy," the risk of "Type 2" deviant cases could be linked to the possibility that the turn being so initiated may turn out not to be sequentially implicative – as we've seen in example (14) (line 9).

However, further examination of the data suggests that "Type 2" deviant cases do not always occur as a result of interaction slips or misjudgment. Sometimes, their occurrences may well be a strategic design mobilized to address the sequential contingencies at that given moment.

Although space does not permit a comprehensive illustration of all such cases, one common use associated with the *ei*-preface turn design in the "type 2" deviant cases may be worth mentioning. In these cases, the speaker first produces an *ei*-preface, apparently to attract a recipient's attention while at the same time making visible that he or she has something to say. For various interactional reasons, however, the speaker then pauses and waits for the current ongoing talk to proceed a bit until he or she finds a proper sequential place to join in again, at which point he or she will then deliver what appears to be projected by the production of *ei*. 11 A common feature of these cases is that this deviant use of the

<sup>&</sup>lt;sup>10</sup> From a conversation-analytic perspective, turn-constructional units (TCUs) are considered the building blocks of turns and may take the forms of sentences, clauses, phrases or lexical items (Sacks et al., 1974). For further discussion on TCUs, see, for example, Sacks et al. (1974), Ford and Thompson (1996), and Schegloff (1996, 2007).

<sup>&</sup>lt;sup>11</sup> In my collection, the intra-turn pause between *ei*-preface and the additional turn component may range from a few tenths of a second to several turn-constructional units long.

ei-preface turn format normally occurs when the speaker proceeds to intrude into the space in an already-ongoing talk, rather than when the speaker is competing against multiple simultaneous starts for initial turn entry.

Example (17) offers a case in point. Here, Lisa (L) has been complaining about her husband and children, who reportedly frequently choose to buy other brands of milk over the one she favors for economic or health reasons.

```
(17) (Cao 6 12 06 C6 35:54:00)
1L:
       wo shuo- wo jiu-z- wo jiu
                                      vao- ha-
                  ı
                      just I
                                 just want
       'I said- I just- t- I just wanted to- dri-'
2L:
             nai jiu yuanyi tiao zhe ge=
       drink milk just willing pick this C
       '(When I) drank (milk), I just wanted this (brand).'=
3L:
       =[quan ta
                    vou
                          yingyang mei yingyang=
         mind 3sg have nutrition N
                                          nutrition
       =['Who cares whether it has nutrition or not?!'=
4C:
       [(laughs)
5L:
       =vinwei
                 wo haizi lao
                                   shuo menaniu mei vinavana.
                     child always say
       because I
                                         (brand)
                                                   Ν
       ='cause my kids always say that Mengniu doesn't have nutrition,'
6L:
       bu hao
       N good
       'not good.'
7C:
        um::
       PRT
        'Uh huh.'
8
       (.)
9L:
       wo shuo hai, lao le jiu y- you- [[he
           say (sigh) old ASP just have drink this C
       'I said, "hai, getting old, (you) just- have- [drink this,'=
10
                                        [[(M turns gaze toward C)
11M:->>
                                        [[ei ↑
                                        PRT
                                            [ei↑
12
         [(C turns gaze toward M)
13L:
       =[juede nei ge shenme=
                that C what
         feel
       ='and feel the uh- what-do-you-call-it,'=
14
          [(M turns gaze toward L)
15M:-> =[nimen ting shuo le
                                   ma
                 hear
        you
                             ASP
                                   Q
        ='Have you guys heard?'
16
17L:
        shenme=
        what
        'What.'=
18M:
        =niunai
                  bu yao
                            he
                                  а
                     want drink PRT
         milk
                  Ν
        ='Don't drink milk!'
```

Note here that in the midst of Lisa's report, Martha (M) cuts in at line 11 with  $ei \uparrow$ . The point at which she enters nonetheless is neither a possible completion point of the storytelling nor the end of the turn in progress – a sequential position normally primed for the use of ei-preface latched onto the additional turn component, as discussed in section 3.2. Here, however, Martha does not proceed with the additional turn component right away. Instead, she gazes toward Cathy with the production of  $ei \uparrow$  (line 10) and waits until she receives a reciprocal gaze from Cathy (line 12) before turning her gaze to Lisa (line 14) and resuming the delivery of the remaining turn component when Lisa's turn comes to a halt (line 13).

Example (18) offers another instance. Earlier in the prior sequence, Mary (M) had talked about how her mother had repeatedly declined to accept the honor of "Superior Teacher" out of humility. Diane (D) misunderstood the event as having just happened recently, only to be teasingly reminded that Mary's mother had passed away a long time before. The following excerpt ensues after that clarification sequence comes to completion.

```
(18)
        (Cao 6 11 06 C5 46:29)
1D:
        xianzai- nar
                        vou ren
                                       shuo=
        now
                 where have person say
        'Nowadays- how can anybody possibly like-'=
2D:
        =bu dana teii
                             iiaoshi de
          N serve superior teacher NOM PRT
        ='decline the award of "Superior Teacher"?'
3
        (.)
4D:
        [keneng- bu tai keneng
        possible N too possible
        ['Likely- Not very likely.'
5M:
        [na- na ta- (.) [[ta
        that that 3sq
                          3sq serve
        ['Then- then she- (.) [[she was awarded-'
6C:
                         [[xianzai hai you teji
                                                    iiaoshi=
                                 still have superior teacher
                          now
                            [['There're still "Superior Teachers" now?'=
7D:
        =y[ou
                a.
                      (na you-)
          have PRT that have
        ='Y[es. (There are-)'
8J:
         Ixianzai teii
                                                 le=
                           jiaoshi ye
                                          duo
                  superior teacher also many ASP
         ['There're a lot of "Superior Teachers" now.'=
9D:
        =xianzai [you teji
                                 iiaoshi.
                 have superior teacher
        ='There [are "Superior Teachers" now.'
10J:
                [(wo) neishihou bijiao
                                           shao=
                                                    (to M)
                      then
                                 relatively few
                ['There were fewer at my time.'=
11M:-> =[neishihou
          then
        =['At that time,'
12D:
                                                                  gao=
        =[teii
                 jiaoshi jiu
                               shi daiyu [shenme dou tebie
        superior teacher just be pay
                                          what
                                                    all
                                                         special high
        =["Superior Teachers"
                               get a really [good salary and stuff like that.'=
13M:->>
                                          ſei-
                                          PRT
                                             ['ei-'
14C:
        =[a
         PRT
        =['Oh.'
15M:->
          [neishihou
          then
          ['At that time,'
16D:
            bu dang [le
        3sq N serve ASP
        'She declined the awa[rd?!'
17M:->
                       [neishihou feichang
                                            shao=
                                  extremely few
                       then
                            ['At that time there were extremely few.'=
18M:
        =gen xianzai bu shi- yi
                                     ge gainian
```

with now N be one C concept ='Not the same as now.'

Here, the question-intoned display of surprise by Cathy (C) in line 6 triggers a round of overlapping responses from Diane and Jiana (J), both of whom press ahead as they talk competitively through the overlap (lines 7–10). In response to the information offered by Jiana in line 10 (i.e., that "Superior Teachers" were very rare in the past), Mary starts up a turn with neishihou 'at that time' (line 11), only to find her utterance colliding in overlap with another turn-constructional unit launched by Diane at that point (line 12). Mary aborts this just-initiated turn, apparently in favor of a revised turn-entry format with an ei-preface (line 13), which she then launches in overlap with Diane's turn in progress. Before pressed to completion, however, this ei-prefaced turn is aborted again, with the turn component that is arguably supposed to follow (line 15) delivered only when Diane's turn in line 12 is brought to completion.

Here, Mary's not projecting the *ei*-preface and the additional turn component within a single intonation contour, I'd argue, is a product of strategic design rather than of an interaction slip. Specifically, in the face of another co-participant's competitive already-ongoing talk, it appears that Mary opts not to press on with her intended talk via a tightly-woven *ei*-preface turn format, perhaps to avoid the risk of having her talk come out obscured or sequentially ineffective. Instead, she aborts her turn and waits for another opportunity for turn re-entry. This possibility appears to be supported by a similar next move by Mary in lines 15–18: Here, after her attempt to deliver the additional turn component in line 15 fails, Mary waits again until Diane's next turn comes to a recognizable completion (line 16), where Mary then re-enters in terminal overlap with the turn and finally says her piece in the clear (lines 17–18).

Thus, it seems clear that even though the majority of the would-be next speakers in my data tend to latch an *ei*-preface onto the additional turn component when claiming the turn space at a non-transition-relevance place, cases departing from this routine use do occur. These cases nonetheless are not always outcomes of individual preferences or interaction mishaps. Rather, as we've seen, there can be very fine-tuned orderly coordinations of turn entry, turn exit, and turn reentry with the recipient-designed use of the *ei*-preface turn format.

# 5. Concluding discussion

The moment-by-moment allocation of speaking turns is fundamental to the operation of any conversation. However, although the phenomenon of turn transfer is orderly, its achievement is not always as simple as it appears to be. In multiparty conversation, for example, as a turn is approaching its possible completion, there are oftentimes multiple sources of competition for the next speakership. To successfully claim and establish an incipient speakership, intending next speakers not only are pressured to project the turn at the earliest possible place; they are also required to plan its projection in such a way that its incipient entry can both indicate some relationship with the incumbent ongoing turn and emerge as a sequentially implicative turn.

The practice of Mandarin initial *ei*, as a disjunction marker and commonly attracting recipient attention accordingly, is well-suited for the sequential environment of incipient turn entry. In this article, I've described the use of two prosodically-different *ei*-preface turn designs in the management of turn entry and turn transfer in multiparty Mandarin conversation. As demonstrated, these two turn formats routinely occur at differential sequential positions in my data: Whereas speakers commonly deliver an *ei*-preface in an independent intonation contour when claiming the next speakership at a transition-relevance place, they tend to latch the *ei*-preface onto the turn component it prefaces if the attempt is made at a non-transition-relevance place. I've argued that this recurrent orderly distribution should not be viewed as an outcome predetermined by the sequence's structure, but should rather be understood as an embodiment of the would-be next speakers' orientation to the fit between the incipient turn entry and the currently on-going talk.

Relatedly, treating this orderly occurrence of *ei* as an embodiment of participants' orientations to how their conduct is organized relative to one another, rather than as a product of the sequence's structure, has implications for research on the notion of "topic" in discourse. Over the past decades, although the notion "topic" has enjoyed great popularity in the literature, the difficulty of attempting to define and identify what a topic is has been widely acknowledged (see, Brown and Yule (1983), for a review). In general, previous work in this area has focused its discussion and treatment of "topic" almost exclusively in terms of "content" or "form" (cf. Brown and Yule, 1983). However, the analysis presented here suggests the possible benefit of viewing "topic" in terms of sequence organization in conversation (e.g., Schegloff, 2007, 2010; Robinson, 2013) – that is, in terms of "[h]ow are actions implemented through successive turns formed up to be 'coherent' with the actions of the prior turn...and what is the nature of that coherence?" (Schegloff, 2010:133). As Schegloff (2010:133) has remarked:

Although topicality provides one grounding of coherence and the one most favored by the literature, what gets done in turns-at-talk is more generally describable as courses of action, of which topic-talking is only one type...There is an organization of practices for jointly building sequences of action in talk-in-interaction...and practices that

underlie the construction and recognition of bits of talk as possible actions – sequence organization and action formation, respectively.

In this article, by demonstrating how Mandarin speakers, in managing a turn entry in multiparty conversation, mobilize different *ei*-prefaced practices to both mark a disjunction and build a connection with another's prior turn, I hope to have offered data-driven evidence for Mandarin speakers' displayed orientation to, and management of, just this kind of sequentially-grounded coherence in talk-in-interaction.

Finally, before closing this article, it seems relevant to note that in some aspects, the differential use of the two variant Mandarin *ei*-preface turn formats as proposed here bears some resemblance to a few turn-management practices observed in English conversation, such as what Schegloff (1982) terms the "rush-through" technique and the "phrasal break" strategy noted by Goodwin (1979, 1980, 1981). The "rush-through" technique canonically occurs when an already-ongoing turn is approaching possible completion; at that point, the current speaker speeds up the talk and shapes the prosody so as to allow an immediate start-up of a next turn-constructional unit without the usual break that might otherwise have occurred at the possible completion point. In using the "phrasal break" strategy, a speaker restarts his or her ongoing talk mid-utterance to introduce a break that can request the gaze of a non-attending party. In both practices, a current speaker manipulates the occurrence or non-occurrence of a break within his or her turn-in-progress for the purposes of managing turn transfer or the participation framework. The two Mandarin *ei*-preface turn formats, on the other hand, similarly maneuver the occurrence or non-occurrence of an intra-turn break, though they are mainly resources available to *intending next speakers* for launching recipient-designed turn entry at differential sequential positions. <sup>12</sup>

The analysis presented here thus gives us a glimpse into a language-specific solution to the universal problem of turn management and provides insights into how turn management is locally achieved with resources available in a given language. It is hoped that this analysis, albeit only the tip of the iceberg, will prompt more research on conversation in Mandarin as well as across languages to further our understanding of how linguistic resources and recurrent interactional practices contribute to the management of turn entry and turn transfer in talk-in-interaction.

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<sup>&</sup>lt;sup>12</sup> "Rush-through" has been discussed in Zhang (2012) using Mandarin data, though her focus is on its use to address some aspects in the immediately prior talk of the same speaker.

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